

POLICY FOR THE LOAN OF SECURITIES
CAIXA ETF IBOVESPA INDEX FUND - CNPJ: 14.120.533/0001-11 (FUND)

1. The FUND may carry out loan operations of securities in the form regulated by CVM and as provided in its Bylaws.

1.1. The FUND may carry out loan operations of securities to the market in the form of the regulations in force, pursuant to the following dispositions:

- the operations shall have a given term and all the borrowed securities shall be returned to the FUND at their maturity.

- the total value of securities loaned by the FUND in this mode shall not exceed the limit of 70 % (seventy percent) of the net assets of the FUND, and

- no more than 70 % (seventy percent) of the amount of each security of the INDEX held by the FUND shall be loaned.

2. If the shareholders of the FUND wish to pursue their voting rights directly in general meetings of the securities integrating the portfolio of the **FUND**, they may ask for the loan of such securities, free of any remuneration or payment of fee to the **FUND**.

2.1. The Authorized Agent shall register both the giver and the taker parties of the loan operation for direct voting.

2.2. Only the securities that assure voting rights at the time of the request for loan of securities shall be taken in loan in the form of this section 2.

2.3. Whereas each share represents a certain amount of net assets in the portfolio of the **FUND**, the shareholder requesting the loan of a certain security of the portfolio shall be entitled to borrow the amount of securities measured based on the proportion of shares held by the applicant in respect of the securities held by the **FUND** at the end of the day that the interest in exercising the right to vote is manifested.

2.3.1. If the number of securities that the shareholder is entitled to take on loan is fractional, that number will be rounded for the nearest smallest whole number.

2.4. The request for loan of securities by the shareholder can only be made after the convening of the general meeting, and such request must be communicated to the ADMINISTRATOR, through one of the Authorized Agents, with at least 05 (five) and at the most 06 (six) working days prior to the conduct of the respective general meeting.

2.4.1. The securities taken in this mode shall be delivered to the shareholders within 03 (three) working days after the date of such request.

2.5. The shareholders who request the loan of securities for voting shall pledge to the FUND, as a guarantee of the loan operation, a number of shares that, as a whole,

represents the total number of securities to be taken on loan, considering the provisions of the Bylaws of the FUND.

2.5.1. The pledged shares may simultaneously serve as guarantees for loan operations of different securities requested by the same shareholder.

2.6. Shareholders shall return to the FUND all securities taken on loan within 01 (one) working day after the respective general meeting.

2.7. The loan of securities for the Shareholders to vote is not a renewable operation.

2.8. Should the Shareholder do not comply with the deadline for the return of the securities specified above, the FUND shall undertake the necessary measures to foreclose the pledged shares and shall charge the same fees typically charged by the FUND in loan operations of securities realized to the market, or if there is no market for this kind of operation, the average fee obtained from three financial institutions chosen by the ADMINISTRATOR.

2.9. The shareholders who request the loan of securities for voting shall bear any costs on such operations, including, without limitation, fees charged by the BM&FBovespa, and the ADMINISTRATOR may require further reimbursement to the FUND for any costs borne by the FUND regarding the respective loan operations of securities.